|  | Application No.   | Applicant(s)                 |
|--|---|------------------------------|
| Notice of Allowability   | 10/574,871  | BURGOS ET AL.                |
|  | Examiner  | Art Unit                     |
|  | Kamal A. Saeed  | 1626                         |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. |   |                              |
| 1. This communication is responsive to <u>communication filed on January 09, 2007</u> .  |   |                              |
| 2. The allowed claim(s) is/are 23-47 now renumbered as 1-25.   |   |                              |
| <ul> <li>3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some* c) None of the:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> </ul>   |   |                              |
| 3.  Copies of the certified copies of the priority documents have been received in this national stage application from the  |   |                              |
| International Bureau (PCT Rule 17.2(a)).   |   |                              |
| * Certified copies not received:   |   |                              |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.   |   |                              |
| 4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.   |   |                              |
| 5. CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.  |   |                              |
| (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached   |   |                              |
| 1) hereto or 2) to Paper No./Mail Date   |   |                              |
| (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date   |   |                              |
| Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).  |   |                              |
| 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.  |   |                              |
|  |   |                              |
|  |   |                              |
| Attachment(s)  | - Cu  |                              |
| <ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftperson's Patent Drawing Review (PTO-948)</li> </ol>  | <ol> <li>5. ☐ Notice of Informal P</li> <li>6. ☐ Interview Summary</li> </ol> | • •                          |
|  | Paper No./Mail Da   | te                           |
| <ol> <li>Information Disclosure Statements (PTO/SB/08),<br/>Paper No./Mail Date 4/6/06 and 6/30/06</li> </ol>  | 7. Examiner's Amendr  | ment/Comment                 |
| 4. Examiner's Comment Regarding Requirement for Deposit of Biological Material   | 8. 🛛 Examiner's Stateme   | ent of Reasons for Allowance |
| o, biological material   | 9. 🗌 Other  |                              |
|  |   |                              |
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## **DETAILED ACTION**

Claims 1-22 have been canceled. Therefore, claims 23-47 are currently pending in this application.

## Information Disclosure Statement

Applicant's Information Disclosure Statements, filed on April 06, 2006 and June 30, 2006 have been considered. Please refer to Applicant's copies of the 1449 submitted herewith.

## Reasons for Allowance

The instant application is directed to a process for **in situ** preparation of chiral compounds from oxazaborolidine borane complexes, wherein a metal borohydride, a Lewis base and an inorganic acid ester are mixed and an optically active amino alcohol are added. The complex obtained is useful for asymmetric reduction reactions. The closest prior art is to Carroll et al, U.S. Patent No. 5,264,574. Carrol et al teach a process for preparing oxazaborolidine

$$\begin{array}{c|c}
R_1 & & \\
R_2 & & \\
H_3B & & \\
R & & \\
\end{array}$$

$$\begin{array}{c|c}
N & & \\
A_1 & & \\
A_2 & & \\
A_3 & & \\
\end{array}$$

borane complex of Formula

by reacting dimethyl sulfide and

gaseous diborane with a solution of oxazaborole. The instantly claimed process is directed to in situ preparation of chiral compounds. Nothing known in the art anticipates or renders the process as described in this application obvious. Therefore, claims 23-47 are allowed.

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## **Telephone Inquiry**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kamal A Saeed, Ph.D. phone number is (571) 272-0705. The examiner can normally be reached on M-T 7:00 AM- 5:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mr. Joseph K. McKane, can be reached at (571) 272-0699.

Communication via Internet e-mail regarding this application, other than those under 35 U.S.C. 132 or which otherwise require a signiture, may be used by applicant and should be addressed to [joseph.mckane@uspto.gov]. All Internet e-mail communications will be made of record in the application file. PTO employees will not communicate with applicant via Internet e-mail where sensitive data will be exchanged or where there exists a possibility that sensitive data could be identified unless there is of record an express waiver of the confidentiality requirements under 35 U.S.C. 122 by the applicant. See the Interim Internet Usage Policy published by the Patent and Trademark Office Official Gazette on February 25, 1997 at 1195 OG 89.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or public PAIR only. For more information about the

pair system, see <a href="http://pair-direct.uspto.gov">http://pair-direct.uspto.gov</a>. Should you have questions on access to the Private

PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197.